71	-1	-!			41. * -	
Please type a	plus	sign	(+)) inside	this	box.

of |

PTO/SB/08A (10-96) Approvior use through 10/31/99. OMB 0651-0031

2001

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

proposed a collection of information unless it contains a valid OMB control number.

-

Under the Paperwork Reduction Act of 1995, n

Substitute for form **1449A/PTO** (Modified by BSTZ 6/30/99)

Sheet |

AUG 0 8 2001

AUG U G ZUU Vire

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(use as many sheets as necessary)

Complete if Known

Application Number

09/457,466

Filing Date

December 8,

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Complete if Known

December 8,

Trad Handler

Tran, T.

003801.P004

$\overline{}$, , , , , , , , , , , , , , , , , , ,	000001.1 004	<u> </u>
		U.S. PATENT DOCUMENTS		9
Examiner	U.S.Patent Document	Name of Patentee or Applicant	Date of Publication of Cited Document	Filing Date if Appropriate
Initials *	Number	of Cited Document	MM-DD-YYYY	
PÆ	5,205,200	Wright	4/27/93	1/9/92
	5,761,655	Hoffman	6/2/98	3/10/94
	5,905,975	Ausubel	5/18/99	1/2/97
	5,835,896	Fisher et al.	11/10/98	3/29/96
	5,845,265	Woolston	12/1/98	11/7/95
	5,890,138	Godin et al.	3/30/99	8/26/96
	4,789,928	Fujisaki	12/6/1988	1/30/1987
	4,864,516	Gaither et al.	9/5/1989	3/10/1986
	5,706,457	Dwyer et al.	1/6/98	6/7/95
	5,557,728	Garrett et al.	9/17/96	11/22/94
	5,771,380	Tanaka et al.	6/23/98	10/7/96
1	5,884,056	Steele	3/16/99	12/28/95

FOREIGN PATENT DOCUMENTS								
Fore	ign Patent Documer	nt	Name of Patentee or Applicant of Cited Document	Date of Publication of	Date of Publication of Translation?			
Office or Country	Number	Date		MM-DD-YYYY	Yes/No			
	. <u>-</u>							
		Foreign Patent Documer	Foreign Patent Document	Foreign Patent Document Name of Patentee or Applicant	Foreign Patent Document Name of Patentee or Applicant Office or Country Number Date of Publication of Cited Document Cited Document			

	OTHER DOCUMENTS							
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No						

Examiner Signature

Black June &

Date Considered

11/28/01

Diogeo	hmo	_	مبيام	cian	1+1	inaida	thic.	hav
Please	type	a	pius	Sign	(+)	mside	นแร	DQX

1

Sheet

PTO/SB/08A (10-96) Approve.or use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

+

Under the Paperwork Reduction Act of 1995, no persons are requ

Under the Paperwork Reduction Act of 1995, po persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449APTO

Complete if Known

(Modified by BSTZ 6/30/99)

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT (Insert as many sheets as necessary)

of

1

Complete if Known

Application Number

09/457,466

Filing Date

December 8, 1999

First Named Inventor

Group Art Unit

Examiner Name

Tran, T.

Attorney Docket Number

003801.P004

		U.S. PATENT DOCUMENTS		· · · - ·
Examiner U.S.Patent Document Number		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
P.F.	5,884,056	Steele	3/16/99	12/28/95
	5,598,557	Doner et al.	1/28/97	9/22/92
	5,694,546	Reisman	12/2/97	5/31/94
	5,873,069	Reuhl et al.	2/26/99	10/13/95
	5,890,138	Godin et al.	3/30/99	8/26/96
	5,803,500	Mossberg	9/8/98	3/27/97
	5,799,285	Klingman	8/25/98	8/30/96
	5,715,314	Payne et al.	2/3/98	10/24/94
	5,485,510	Colbert	1/16/96	9/1/94
	5,657,389	Houvener	8/12/97	5/8/95
	5,771,291	Newton et al.	6/23/98	12/11/95
	5,872,848	Romney et al.	2/26/99	2/18/97

	FOREIGN PATENT DOCUMENTS								
Examiner	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication of Translation?				
Initials *	Office or Country	Number	Date	of Cited Document	Cited Document Yes/No MM-DD-YYYY				
	<u> </u>								

	OTHER DOCUMENTS							
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No						
j								

Examiner | Signature |

Photo June &

Date Considered

11/28/01

Please	type	а	olus	sian	(+)	inside	this	hox
1 10030	type	ч	pius	aigii	٧.,	HISIUC	นแจ	

PTO/SB/08A (10-96)

for use through 10/31/99. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1996, repersons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)

1

Sheet

AUG 0 8 2001

1

INFORMATION DISCLOSURE STATEMENT BY APPLICATE RADEN

of

(use as many sheets as necessary)

	Complete if Known					
	Application Number	09/457,466				
	Filing Date	December 8, 1999				
	First Named Inventor	Brad Handler				
i	Group Art Unit	2161				
	Examiner Name	Tran, T.				
	Attorney Docket Number	003801.P 004				

	U.S. PATENT DOCUMENTS		
ent Document	Name of Patentee or Applicant	Date of Publication of Cited Document	Filing Date if Appropriate
lumber	of Cited Document	MM-DD-YYYY	
922,074 Richai	rd et al.	7/13/99	2/28/97
035,402 Vaeth	et al.	3/7/2000	12/20/96
104,815 Alcorn	et al.	8/15/2000	1/9/98
760,917 Sherid	an	6/2/98	9/16/96
		· · · · · · · · · · · · · · · · · · ·	
			†
	922,074 Richar 035,402 Vaeth 104,815 Alcorn	Name of Patentee or Applicant of Cited Document 922,074 Richard et al. 035,402 Vaeth et al. 104,815 Alcorn et al.	Name of Patentee or Applicant of Cited Document Cited Document MM-DD-YYYY 922,074 Richard et al. 7/13/99 035,402 Vaeth et al. 3/7/2000 104,815 Alcorn et al. 8/15/2000

		FOF	REIGN PATEN	IT DOCUMENTS		
Examiner	F	oreign Patent Document	•	Name of Patentee or Applicant of Cited Document	Date of Publication of	Translation?
Initials *	Office or Country	Number	Date		Cited Document MM-DD-YYYY	Yes/No
P.E	PCT	WO 99/63461	5/20/99	Dinwoodie	12/9/99	
	PCT	US99/18510	10/23/98		8/12/99	
1,	PCT	US00/32088	4/4/2001		11/20/2000	

	OTHER DOCUMENTS	
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
P.E	CHRIS PREIST, MARTEN vAN TOL, "International Conference on Information and Computation Economies", <u>Adaptive Agents in a Persistant Shout Double Auction</u> , (c) 1999, Pages 2.	

Examiner Signature

Date Considered

11/28/01

PTO/SB/08A (10-96) or use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

+

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)

1

Sheet

AUS 0 8 2001

7

INFORMATION DISCLOSURE STATEMENT BY APPLICATE TRADEN

of

(use as many sheets as necessary)

١	Co.	mplete if Known	
1	Application Number	09/457,466	
	Filing Date	December 8, 1999	
•	First Named Inventor	Brad Handler	
	Group Art Unit	2161	
	Examiner Name	Tran, T.	
	Attorney Docket Number	003801.P004	

	U.S. PATENT DOCUMENTS					
Examiner	U.S.Patent Document	Name of Patentee or Applicant	Date of Publication of Cited Document	Filing Date if Appropriate		
Initials * Number		of Cited Document	MM-DD-YYYY			
P.F.	3,581,072	Nymayer	5/25/1971	3/28/1968		
	3,573,747	Adams, et al.	4/6/1971	2/24/1969		
	4,412,287	Braddock, III	10/25/1983	9/15/1982		
	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985		
	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984		
	4,789,928	Fujisaki	12/6/1988	1/30/1987		
	4,799,156	Shavit, et al.	1/17/1989	10/1/1986		
	4,823,265	Nelson	4/18/1989	5/11/1987		
	4,903,201	Wagner	2/20/1990	11/3/1983		
	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990		
	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989		
	5,101,353	Lupien, et al.	3/31/1992	5/31/1989		

	FOREIGN PATENT DOCUMENTS								
Examiner	F	oreign Patent Document		Name of Patentee or Applicant	Date of Publication of	Translation?			
Initials *	Office or Country	Number	Date	of Cited Document	Cited Document MM-DD-YYYY	Yes/No			
	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992				
	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996				
	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997				

	OTHER DOCUMENTS	
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
P.E	MALONE, TW; YATES, J; et al., "Electrnic Markets and Electronic Hierarchies", Communications - of the ACM, June 1987, Pages 484-497, Vol. 30, No. 6, USA	
	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature

Date Considered

11/28/01

PTO/SB/08A (10-96) or use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)

2

Sheet

AUS 0 8 2001

7

INFORMATION DISCLOSURE STATEMENT BY APPLICANT TRADE

of

(use as many sheets as necessary)

Cor	mplete if Known
Cor Application Number	09/457,466
Filing Date	December 8, 1999
First Named Inventor	Brad Handler
Group Art Unit	2161
Examiner Name	Tran, T.
Attorney Docket Number	003801.P 004

		U.S. PATENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·	
Examiner Initials *	U.S.Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
P. E	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
	5,168,446	Wiseman	12/1/1992	5/23/1989
	5,243,515	Lee	9/7/1993	10/30/1990
	5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
	5,280,422	Moe, et al.	1/18/1994	11/5/1990
	5,297,031	Gutterman, et al.	3/22/1994	3/6/1990
	5,297,032	Trojan, et al.	3/22/1994	2/1/1991
	5,305,200	Hartheimer, et al.	4/19/1994	11/2/1990
	5,325,297	Bird, et al.	6/28/1994	6/25/1992
	5,329,589	Fraser, et al.	7/12/1994	6/3/1993
	5,375,055	Togher, et al.	12/20/1994	2/3/1992
	5,394,324	Clearwater	2/28/1995	12/8/1993

		FO	REIGN PATENT I	DOCUMENTS		
Examiner	Fo	oreign Patent Documen	t	Name of Patentee or Applicant	Date of Publication of	Translation?
Initials *	Office or Country	Number	Date	of Cited Document Cite	Cited Document MM-DD-YYYY	· i resmo i
P.E	Netherland	9300266	2.10.1993			
	France	2658635-A1	2.6.1991			
	Canada	2,253,543	3/19/1997			Yes

	OTHER DOCUMENTS	
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
P.K	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", Internet Reaearch - Electrnic Networking Applications and Policy, January 1, 1995, Vol 5, No. 4, Pages 10-16	
	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquifity", <u>Financial Analysts</u> <u>Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechinism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3	
	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", MIS Quarterly, September 1994, Vol. 18, No 3, Pages 251-274	

Examiner Signature Date Considered

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96) or use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE nodersons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, Substitute for form 1449A/PTO

3

Sheet

(Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICA TRADEN

of

(use as many sheets as necessary)

AUG 0 8 2001

7

Complete if Known **Application Number** 09/457,466 **Filing Date** December 8, 1999 **First Named Inventor** Brad Handler Group Art Unit 2161 **Examiner Name** Tran, T. **Attorney Docket Number** 003801.P004

		U.S. PATENT DOCUMENTS			
Examiner	U.S.Patent Document	Name of Patentee or Applicant	Date of Publication of Cited Document	Filing Date if Appropriate	
Initials *	Number	of Cited Document	MM-DD-YYYY		
P.F.	5,426,281	Abecassis	6/20/1995	10/29/1993	
	5,553,145	Micali	9/3/1996	8/4/1995	
	5,640,569	Miller, et al.	6/17/1997	4/28/1995	
	5,664,115	Fraser	9/2/1997	6/7/1995	
	5,689,652	Lupien, et al.	11/18/1997	4/27/1995	
	5,710,889	Clark, et al.	1/20/1998	6/7/1995	
	5,715,402	Popolo	2/3/1998	11/9/1995	
	5,717,989	Tozzoli, et al.	2/10/1998	10/13/1994	
	5,727,165	Ordish, et al.	3/10/1998	12/27/1994	
	5,794,219	Brown	8/11/1998	2/20/1996	
	5,818,914	Fujisaki	10/6/1998	3/27/1995	
1	5,826,244	Huberman	10/20/1998	8/23/1995	

	FOREIGN PATENT DOCUMENTS							
Examiner		Fore	ign Patent Documen	t	Name of Patentee or Applicant	Date of Publication of Translation		
Initials *		Office or Country	Number	Date	of Cited Document	Cited Document Yes/No MM-DD-YYYY		
	,							

	OTHER DOCUMENTS					
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).					
P.K	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.					
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3					
	LEE, G., " Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", Proceedings of the 29th HICSS, 1996, Pages 397-406, Vol. IV					
	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", International Conference on Information Systems, 1991, Pages 53-61, Vol 12					

Examiner Signature Dat Considered

Please	type	a nlu	e eian	14) incida	thic	hov
i icasc	type	a piu	o oigii	ĮΨ	HISIUE	นแร	DOX

PTO/SB/08A (10-96)
Approve or use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form	1449A/PTO
(Modified by BSTZ	6/30/99)

Sheet

AUG 0 8 2001

7

INFORMATION DISCLOSURE STATEMENT BY APPLICANT PRADE

of

(use as many sheets as necessary)

Complete if Known

Application Number 09/457,466

Filing Date December 8, 1999

First Named Inventor Brad Handler

Group Art Unit 2161

Examiner Name Tran, T.

Attorney Docket Number 003801.P004

				
		U.S. PATENT DOCUMENTS		~~~~~
Examiner Initials *	U.S.Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
P. Ki	5,835,896	Fisher, et al.	11/10/1998	3/29/1996
	5,845,266	Lupien, et al.	12/1/1998	12/12/1995
*	5,850,442	Muftic	12/15/1998	3/26/1996

FOREIGN PATENT DOCUMENTS								
Fore	ign Patent Documer	nt	Name of Patentee or Applicant	Date of Publication of Translation?				
Office or Country	Number	Date	of Cited Document	Cited Document Yes/N				
		Foreign Patent Documer	Foreign Patent Document	Foreign Patent Document Name of Patentee or Applicant	Foreign Patent Document Name of Patentee or Applicant Office or Country Number Date of Publication of Translat Cited Document Yes/N			

	OTHER DOCUMENTS	
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
P.F.	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
	'Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

Examiner Signature

Date Considered

11/28/01

Please	type:	a ı	alus	sian	(+)	inside	this	hox
1 10030	type :	α I	JIUS	Sign	ιTΙ	IIISIUE	นแจ	DUX.

PTO/SB/08A (10-96)

Approvemor use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Jnder the P	aperwork Reduction	Act of 199	5, no persons are equir	ed to respond to a collection of inform	ation unless it contains a valid O	MB control n	umber.	•		
Substitute for form 1449A/PTO				Col	Complete if Known					
(Modified by BSTZ 6/30/99) ALIG 0 8 2001		Application Number	09/457.466							
l.	NFORMATION I	DISCLO	SURE	Filing Date	December 8, 19	99 ਰ		71		
•	SIAIEMENIB	r APPLI	CANTE TRADEMARKS	First Named Inventor	Brad Handler	hnc	JO.	I		
(use as many sheets as necessary)			essary)	Group Art Unit	2161	log	0			
				Examiner Name	Tran, T.	y Ce	9 7	~		
Sheet	5	of	7	Attorney Docket Number	003801.P0 0 4	nter	.00	T		
			U.S. I	PATENT DOCUMENTS		2				
Examiner Initials *	U.S.Patent D			Patentee or Applicant Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Dated	Approp	riate		
	I .									

	U.S. PATENT DOCUMENTS								
Examiner U.S.Patent Document		Name of Patentee or Applicant	Date of Publication of	Filing Date Appropriate					
Initials *	Number	of Cited Document	Cited Document MM-DD-YYYY						

	FOREIGN PATENT DOCUMENTS								
Examiner Initials *	Fore	ign Patent Documer	nt	Name of Patentee or Applicant	Date of Publication of	Translation?			
	Office or Country	Number	Date	of Cited Document	Cited Document MM-DD-YYYY	Yes/No			
l									

	OTHER DOCUMENTS	
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
P.F.	SCHMID, BF., "The Development of Electronic Commerce", Newsletter of the Competence Centre Electrnic Markets, October 1993, No. 9/10	
	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", Norwegian Computing Center, No Date Given, Pages 1-10	
	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-3	
	RECK, Martin., "Trasing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

Examiner Date Signature Considered

Please type		مددام	aia-		. ::	46	L
riease type	3 a 1	Dius	Sign	(-	mside	นบร	DOX_

Examiner

Signature

PTO/SB/08A (10-96)

or use through 10/31/99, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE equired to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no Complete if Known Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) **Application Number** AUS 0 8 2001 09/457.466 INFORMATION DISCLOSURE **Filing Date** December 8, 1999 STATEMENT BY APPLICANT **First Named Inventor** Brad Handler (use as many sheets as necessar) Group Art Unit 2161 **Examiner Name** Tran, T. of Sheet 6 7 Attorney Docket Number 003801.P 004 U.S. PATENT DOCUMENTS Date of Publication of **U.S.Patent Document** Examiner Filing Date if Appropriate Name of Patentee or Applicant Cited Document Initials Number of Cited Document MM-DD-YYYY **FOREIGN PATENT DOCUMENTS** Date of Publication of Translation? Foreign Patent Document Examiner Name of Patentee or Applicant Cited Document Initials Yes/No of Cited Document Office or Country Number Date MM-DD-YYYY OTHER DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, Examiner Translation? magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or Initials 1 Yes/No country where published (if known). VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", Focus Theme, No Date Given, Pages 1-6 LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", Focus Theme, No Date Given, Pages 1-5 KLEIN, S., "Introduction to Electronic Auctions", Focus Theme, No Date Given, Pages 1-4 TURBAN, E., "Auctions and Bidding on the Internet an Assessment", Focus Theme, No Date Given, Pages 1-5

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

Please	type a	nlus	sian	(+ ¹) incida	thie	hov
ricase	type a	pius	Sign	Ţ.	, ii iside	นแร	DUX

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
pond to a collection of information unless it contains a valid OMB control number.

Inder the P	apei	work Reduction	Act of 196	500 berson are re	equired to resp	oond to a collection of informa	ation unless it contains	s a valid (OMB control n	umber.		
Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLASURE STATEMENT BY APPLICANT (use as many sheets as necessary)						Complete if Known						
					Appli	cation Number	09/457,46	57,466 –				
					Filing	g Date						
					First	Named Inventor	Brad Handler			AL C		
					Grou	p Art Unit	2161	9	9 y C			
					Exar	niner Name	Tran, T.		, i	2001		
Sheet		7	of	7	Attor	ney Docket Number	003801.P 0 0 4		- 5	3 3		
			I	U.	S. PATEN	T DOCUMENTS						
Examiner Initials *		U.S.Patent Document Number			ne of Patentee of Cited Doo	e or Applicant	Date of Publication of Cited Document MM-DD-YYYY		Filing Date if Appropriate			
						-						
				FOR	EIGN PATI	ENT DOCUMENTS						
Examiner Initials *		Foreign Patent Document				Name of Patente	e oi Abbiicaiir Circal		Publication of Translation?			
		Office or Country		Number	Date	of Cited Do			M-DD-YYYY Yes/No			
								ļ				
								ļ				
			_					<u> </u>				
						CUMENTS						
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).								Translation? Yes/No		
PE				, "Electronic Cor 6, Pages 3-23, \		tructures and Issues", <u>li</u> 1	nternational Journ	nal of E	<u>lectrnic</u>			

Examiner Signature Mala Tuw & Considered 11/28/01